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March 23, 2001

Attorney Docket No.: 11657-003002

Box Patent Application
Commissioner for Patents
Washington, DC 20231

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Presented for filing is a new continuation patent application of:

Applicant: Gary L. Gastineau and Clifford Weber

Title: HEDGING EXCHANGE TRADED MUTUAL FUNDS OR OTHER
PORTFOLIO BASKET PRODUCTS

The prior application is assigned of record to The American Stock Exchange, LLC,
a Delaware corporation, by virtue of an assignment submitted to the Patent and
Trademark Office and recorded on March 27, 2000 at 010662/0177.

Enclosed are the following papers, including those required to receive a filing date
under 37 CFR §1.53(b):

	<u>Pages</u>
Specification	23
Claims	5
Abstract	1
Declaration	2 (from prior application)
Drawing(s)	7

Enclosures:

- Preliminary amendment, 7 pages.
- Postcard.

CERTIFICATE OF MAILING BY EXPRESS MAIL

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I hereby certify under 37 CFR §1.10 that this correspondence is being
deposited with the United States Postal Service as Express Mail Post
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Date of Deposit March 23, 2001

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Samantha Bell
Samantha Bell

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This application is a continuation (and claims the benefit of priority under 35 USC 120) of U.S. application serial no. 09/536,258, filed March 27, 2000. The disclosure of the prior application is considered part of (and is incorporated by reference in) the disclosure of this application.

Basic filing fee	\$710
Total claims in excess of 20 times \$18	\$144
Independent claims in excess of 3 times \$80	\$80
Fee for multiple dependent claims	\$0
Total filing fee:	\$934

A check for the filing fee is enclosed. Please apply any other required fees or any credits to deposit account 06-1050, referencing the attorney docket number shown above.

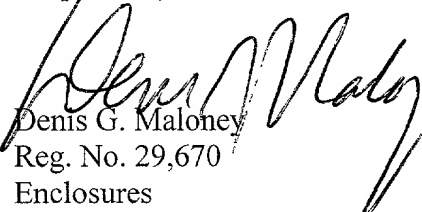
If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (617) 542-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

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Respectfully submitted,


Denis G. Maloney
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Enclosures
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